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06/29/01  
JC971 U.S. PTO

PATENT

Docket No. CIL-10843

Customer No. 26857

Commissioner for Patents  
Washington, DC 20231

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09/896722

06/29/01

### NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of Inventor(s):

**Daniel G. Stearns, Donald W. Sweeney, Paul B. Mirkarimi, Henry N. Chapman**

For (title): **A METHOD TO REPAIR LOCALIZED AMPLITUDE DEFECTS IN A EUV LITHOGRAPHY MASK BLANK**

**1. Type of Application**

☒ This new application is for an original patent.

☐ This new application is a:

- ☐ Division
- ☐ Continuation
- ☐ Continuation-in-part (CIP)

**2. Benefit of Prior U.S. Application(s) (35 USC 120)**

☐ The new application being transmitted claims the benefit of prior U.S. application(s).

**3. ☐ Benefit under 35 U.S.C. 119(e) of United States provisional application(s) listed below:**

Application Serial No. \_\_\_\_\_ Filing Date \_\_\_\_\_

**4. Papers enclosed which are required for filing Date Under 37 CFR 1.53(b).**

22 Pages of specification

7 Pages of claims

1 Pages of abstract

5 Sheets of drawings

☒ formal

**5. Additional papers enclosed**

- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement
- ☐ Form PTO-1449

6. Declaration or oath

- ☐ Enclosed and executed by
- ☐ inventors
  - ☐ legal representative of inventor(s) 37 CFR 1.42 or 1.43
- ☒ Not Enclosed

7. Assignment

- ☐ An assignment of the invention to The Regents of the University of California.
- ☐ is attached
  - ☒ will follow

8. Certified Copy


Certified copy(ies) of application(s)

(country)	(application no.)	(filed)
(country)	(application no.)	(filed)

from which priority is claimed

- ☐ is(are) attached.
- ☐ will follow

9. Fee Calculation

CLAIMS AS FILED					
Type of Claim	Number Filed	Included in Basic Fee	Number Extra	Rate	Total Fee
Total Claims	37	-20 =	17	x \$18 =	\$ 306.00
Independent Claims	3	-3 =		x \$80 =	\$ 00.00
				Multiple Claims	= \$
				Basic Filing Fee	= \$ 710.00
				Sub-Total	= \$ 1016.00
				Small Entity Filing Fee	= \$ .00

**10. Small Entity Statement(s)**

- ☐ This is a filing by small entity under 37 CFR 1.9 and 1.27.

Filing Fee Calculation (50% of regular filing fee) \$           .00

**11. Fee Payment**

- ☐ Not Enclosed  
☒ Enclosed

**Total Basic Filing Fees To Be Paid** \$       1016.00

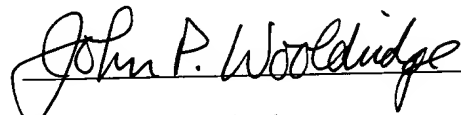
**12. Method of Payment of Fees**

- ☒ Check in the Amount of \$ 1016.00  
☐ Charge Account No.                      in the amount of \$ .00

**A duplicate of this transmittal is attached.**

**13. Instructions As To Overpayment**

- ☐ credit/charge  
Account No.                                   
☒ refund



John P. Wooldridge  
ATTORNEY  
Reg. No.: 38,725

Tel No.: (925) 292-8652  
1334 Ridgestone Court  
Livermore, CA 94550

Dated: June 29, 2001

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Daniel G. Stearns et al

Attorney Docket No. : CIL-10843

Serial No. :

Art Unit:

Filed :

Examiner:

For : A Method To Repair Localized Amplitude  
Defects In A Euv Lithography Mask Blank

Commissioner for Patents  
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EI169103838US

Date of Deposit June 29, 2001

I hereby certify that the following *attached*

1. New Application Transmittal (3 pages) (in duplicate)
2. Unsigned Combined Declaration and Power of Attorney (3 pages)
3. Application  
(Specification 22 pages, Claims 7 pages, Abstract 1 page)  
Five (5) sheets of formal drawings
4. Check No. 674 for \$1016.00
5. Return postcard

is being deposited with the United States Postal Service "Express Mail Post Office to addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Box: Patent Application, Washington, D.C. 20231.

John P. Wooldridge

(Type or print name of person mailing paper)

  
(Signature of person mailing paper or fee)

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